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Patent No. 6,771,855

Issue Date: 8/3/2004

Application No. 10/002,703

Filing Date: 10/30/2001

First Named Inventor: Bardia Pezeshki

Docket Number: SANT0003

Please change the Correspondence Address for the above-identified patent to:

Customer Number 78735

NeoPhotonics Corporation is the assignee of record of the entire interest.

STATEMENT UNDER 37 CFR 3.73(b):

Patent Owner: NeoPhotonics Corporation

Patent title: LASER AND FIBER COUPLING CONTROL

NeoPhotonics Corporation is the assignee of the entire right, title and interest by virtue of a chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as follows:

Patent Assignment Abstract of Title

Total Assignments: 4

Patent #: 6771855 Issue Dt: 08/03/2004 Application #: 10002703 Filing Dt: 10/30/2001
Publication #: 20020085806 Pub Dt: 07/04/2002
Inventors: Bardia Pezeshki, Jay Kubicky, Ed Vail
Title: LASER AND FIBER COUPLING CONTROL

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Assignment: 1

Reel/Frame: 012405/0622

Recorded: 02/20/2002

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: PEZESHKI, BARDIA

Exec Dt: 02/12/2002

KUBICKY, JAY

Exec Dt: 02/12/2002

VAIL, ED

Exec Dt: 02/12/2002

Assignee: SANTUR CORPORATION

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Assignment: 2

Reel/Frame: 022783/0137

Recorded: 06/04/2009

Pages: 9

Conveyance: SECURITY AGREEMENT

Assignor: SANTUR CORPORATION

Exec Dt: 05/28/2009

Assignee: SILICON VALLEY BANK

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Assignment: 3

Reel/Frame: 027090/0703

Recorded: 10/19/2011

Pages: 8

Conveyance: RELEASE OF SECURITY INTEREST

Assignor: SILICON VALLEY BANK

Exec Dt: 10/12/2011

Assignee: SANTUR CORPORATION

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Assignment: 4

Reel/Frame: 027269/0214

Recorded: 11/22/2011

Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: SANTUR CORPORATION

Exec Dt: 11/21/2011

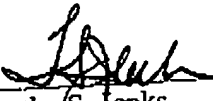
Assignee: NEOPHOTONICS CORPORATION

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The undersigned, (whose title is supplied below) is authorized to act on behalf of the assignee.

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8-10-2012
Date